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DTOS Rec'd PCT/PTO 10 MAR 2005

PATENT APPLICATION #24

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Vasant Pandit et al.

Application No.: 10/508,930

Filed: September 24, 2004

For: MANUFACTURE OF PHENYL ETHYLAMINE COMPOUNDS, IN PARTICULAR  
VENLAFAXINE

Attorney Docket No.: 3875.029

Customer No.: 000041288

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97 and §1.98**

**Mail Stop Amendment**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the following documents for the above-identified application. Except for copies of documents corresponding to U.S. Patents and U.S. Patent Applications, copies of the documents set forth below and listed on the attached Form PTO/SB/08 are provided herewith. A copy of an International Search Report and an International Preliminary Examination Report (IPER) is also provided herewith.

1. U.S. Patent No. 6,350,912
2. U.S. Patent No. 2,462,736

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ATTY DOCKET: 3875.029

3. U.S. Patent No. 4,535,186
4. W.E. Fristad et al., J. Org. Chem., 50(17)3143-3148 (1985).

**Document 1**

Document 1 is in the English language. In the International Search Report Document 2 was cited as in the "X" category of relevancy to claims 1-5, 9-18 and 21-26.

**Document 2**

Document 2 is in the English language. In the International Search Report Document 2 was cited as in the "Y" category of relevancy to claims 1-26.

**Document 3**

Document 3 is in the English language. In the International Search Report Document 3 was cited as in the "X" category of relevancy to claims 1-4, 14, 15, 23, and 26.

**Document 4**

Document 4 is in the English language. In the International Search Report Document 4 was cited as in the "Y" category of relevancy to claims 1-26.

The present Information Disclosure Statement is being filed after three months from the application's filing date but before the mailing date of the first Office Action on the merits,

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INFORMATION DISCLOSURE STATEMENT

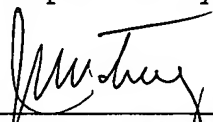
ATTY DOCKET: 3875.029

therefore no Certification Under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

The submission of the listed document is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedated or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicant respectfully requests that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

Respectfully submitted,

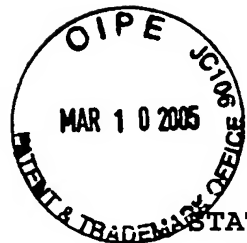
  
\_\_\_\_\_  
John J. Martinez  
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(813)886-6085  
Dated: **March 7, 2005**

**Enclosures:** Copy of document 4, copy of International Search Report and copy of IPER.

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**CERTIFICATE OF MAILING AND AUTHORIZATION TO CHARGE**

I hereby certify that the foregoing **INFORMATION DISCLOSURE STATEMENT** Form PTO/SB/08A, including one (1) document, for U.S. Application No. 10/508,930 filed September 24, 2004, were deposited in first class U.S. mail, with sufficient postage, addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on **March 7, 2005**.

The Commissioner is hereby authorized to charge any additional fees which may be required at any time during the prosecution of this application without specific authorization, or credit any overpayment, to Deposit Account No. 16-0877.

  
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John J. Martinez



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1009/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/508,930
Filing Date	September 24, 2004
First Named Inventor	Vasant Pandit
Art Unit	
Examiner Name	
Attorney Docket Number	3875.029

Sheet 2 of 2

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	4	W.E. FRISTAD et al., Manganese(III) gamma-Lactone Annulation with Substituted Acids, J. org. Chem., 1985, 50(17)3143-3148	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.